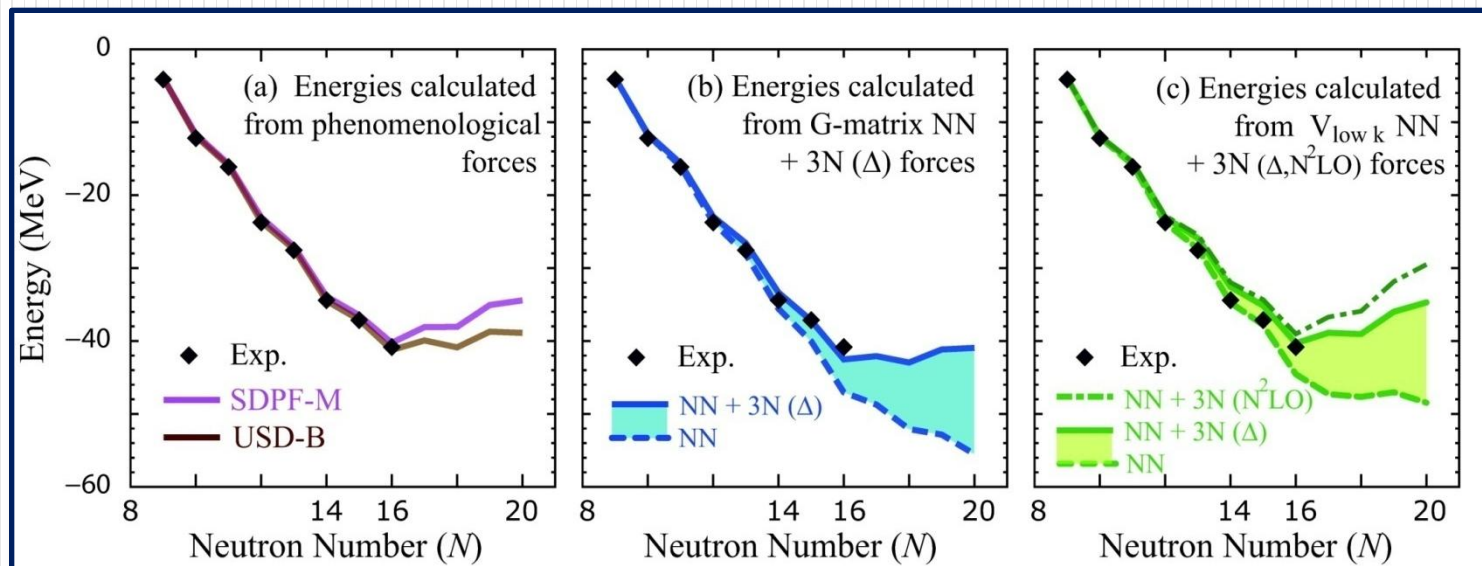


# Three-Nucleon Forces for Nuclear Structure in Medium-Mass Nuclei

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**TRIUMF**



INT Program: Effective Field Theory and The Many-Body Problem  
May 12, 2009

# Outline

## ⊕ Microscopic Theory for Valence Shell Effective Interactions

- ◆ Theoretical method and challenges
- ◆ Deficiencies in method: revealed in monopole part of interaction

## ⊕ Interface with Effective Field Theories

- ◆ NN forces from Chiral EFT evolved to lower momenta
- ◆ 3N forces from Chiral EFT: inclusion in valence-shell interactions

## ⊕ Results

- ◆ Effect on **monopole** part of interaction
- ◆ Applications to nuclear structure in medium mass nuclei
  - ◆ Oxygen binding energies and prediction of dripline
  - ◆ Ca binding energies and shell gap in  $^{48}\text{Ca}$

# Many-body Problem for Finite Nuclei

Various Methods to solve many-body problem: Coupled Cluster, NCSM, In-medium SRG – we use many-body perturbation theory (MBPT)

Solve the many-body Schrödinger equation for nuclear systems:

$$H\psi = E\psi \quad \text{where } H = H_1 + H_0 \quad \text{and } H_0 = T + U \quad H_1 = V - U$$

- Impossible to solve in heavy systems in complete Hilbert space

- Consider problem in truncated (model) space defined by operators  $P$  for model space and  $Q$  for excluded space, where

$$P + Q = 1 \quad PQ = 0 \quad PH_{eff}P\psi = EP\psi \quad H_{eff} = H_0 + V_{eff}$$

and  $V_{eff}$  acts in the model space given by  $P$

Can obtain “eigenvalue-dependent”  $H_{eff}$  (different Hamiltonian for different eigenstates):

$$H_{eff}(E_n) = PHP + PHQ \frac{1}{E_n - QHQ} QHP$$

**Folded-diagrams**: method to construct eigenvalue-independent effective interaction.

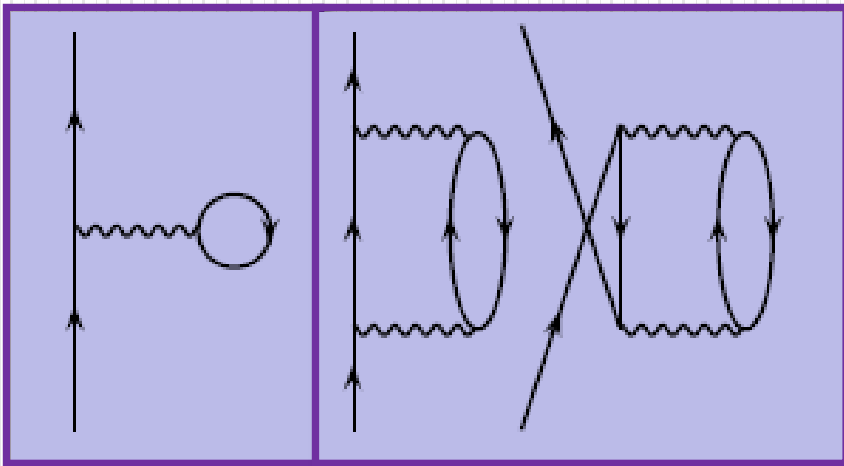
# Many-Body Perturbation Theory

To construct the effective interaction, introduce:

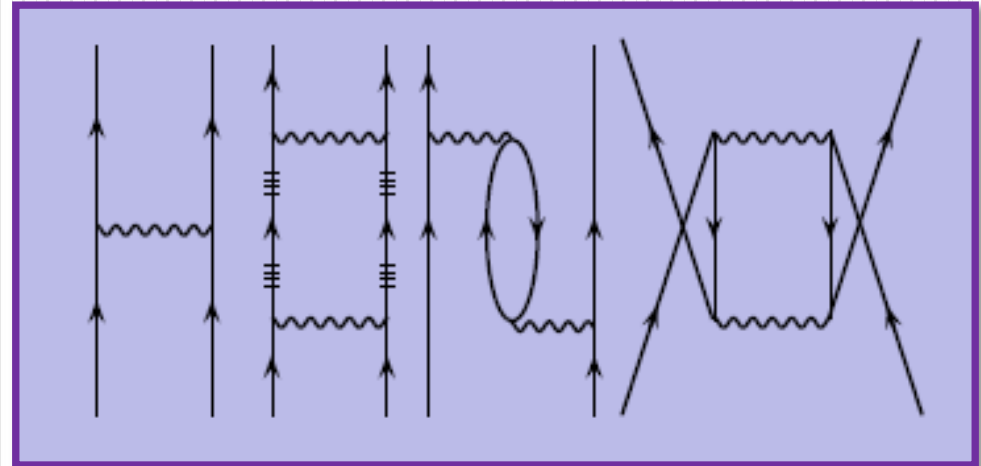
$$\hat{Q}(\omega) = PH_1P + PH_1Q \frac{1}{\omega - QHQ} QH_1P$$

$\hat{Q}(\omega)$ -box: sum of all possible topologically distinct diagrams which are:

- **Irreducible**: the intermediate many-particle states between each pair of vertices belong to the Q space.
- **Valence linked**: all the interaction vertices are linked (via fermion lines) to at least one valence space line.



1-body Q-box to 2<sup>nd</sup> order



2-body Q-box to 2<sup>nd</sup> order

# Effective Interaction

Effective interaction given by infinite series of “folded” diagrams:

$$V_{\text{eff}} = \hat{Q} - \hat{Q}' \int \hat{Q} + \hat{Q}' \int \hat{Q} \int \hat{Q} - \hat{Q}' \int \hat{Q} \int \hat{Q} \int \hat{Q} + \dots$$

$\int$  = generalized folding operator – removes divergences due to degenerate model space

Several ways to solve the infinite series

Assuming degenerate model space,  $PH_0P = \omega P$ , can obtain  $V_{\text{eff}}$  from Lee-Suzuki iterative scheme:

$$V_{\text{eff}}^{(n)} = \left( 1 - Q_1 - \sum_{m=2}^{n-1} \hat{Q}_m \prod_{k=n-m+1}^{n-1} V_{\text{eff}}^{(k)} \right)^{-1} \hat{Q}(\omega_0) \quad \hat{Q}_m = \frac{1}{m!} \frac{d^m \hat{Q}(\omega)}{d\omega^m}$$

Need to determine 1- and 2-body  $Q$ -box and its derivatives

# Details of Calculation

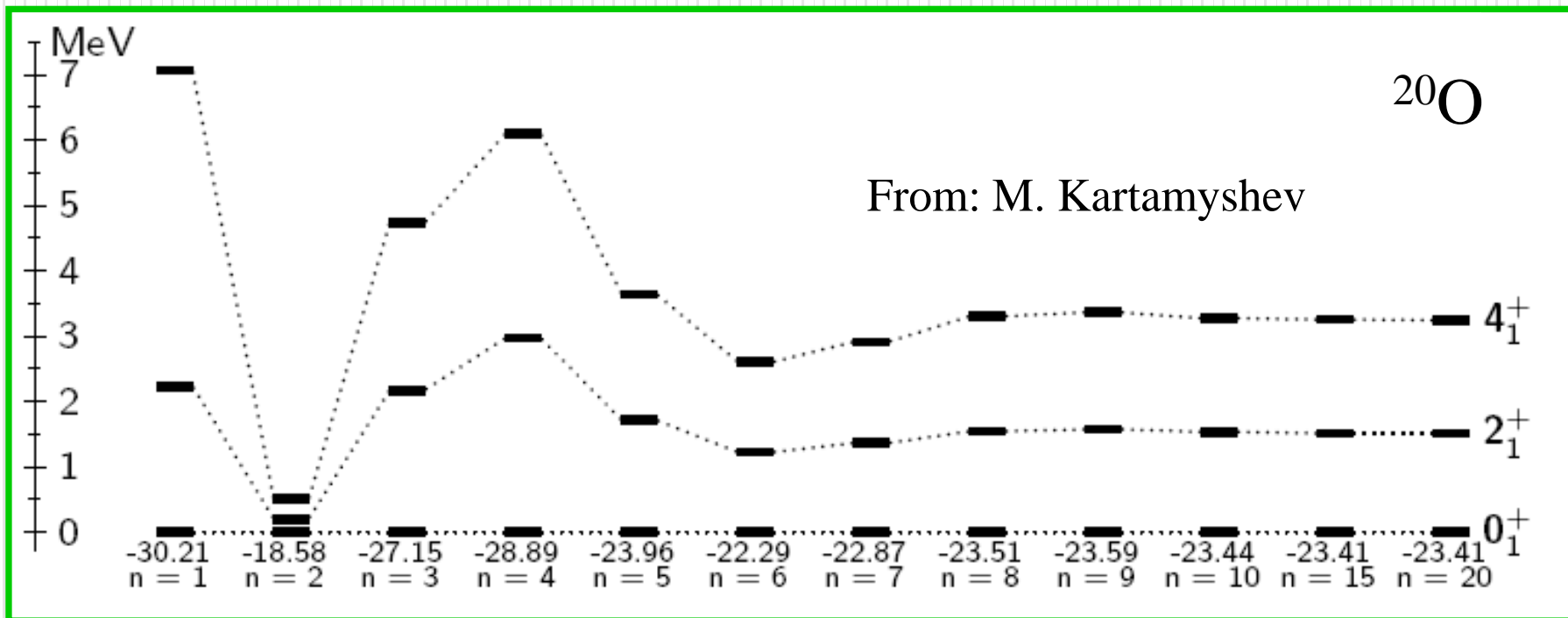
Assume degenerate model space

Intermediate states excitations: 6 major shells above model space

Neglect 3-body and higher Q-box

LS iterative scheme: converged ~10 iterations

2<sup>nd</sup>-order in Perturbation Theory



# Monopole Part of Interaction

Microscopic MBPT typically works for few particles/holes away from closed shell: deteriorates beyond this

- Deficiencies in microscopic interactions can be improved by adjusting monopole two body matrix elements:

**Angular average of interaction**

**Determines interaction of orbit  $a$  with  $b$**

$$V_{ab}^T = \frac{\sum_J (2J+1) V_{abab}^{JT} [1 - (-1)^{J+T} \delta_{ab}]}{\sum_J (2J+1) [1 - (-1)^{J+T} \delta_{ab}]}$$

Phenomenological shell model interactions typically start from MBPT results then exploit importance of monopoles:

***sd*-shell:** USD (1984), USDa, USDb (2006)

- global fit of SPE and TBME; monopoles most important

***pf*-shell:**

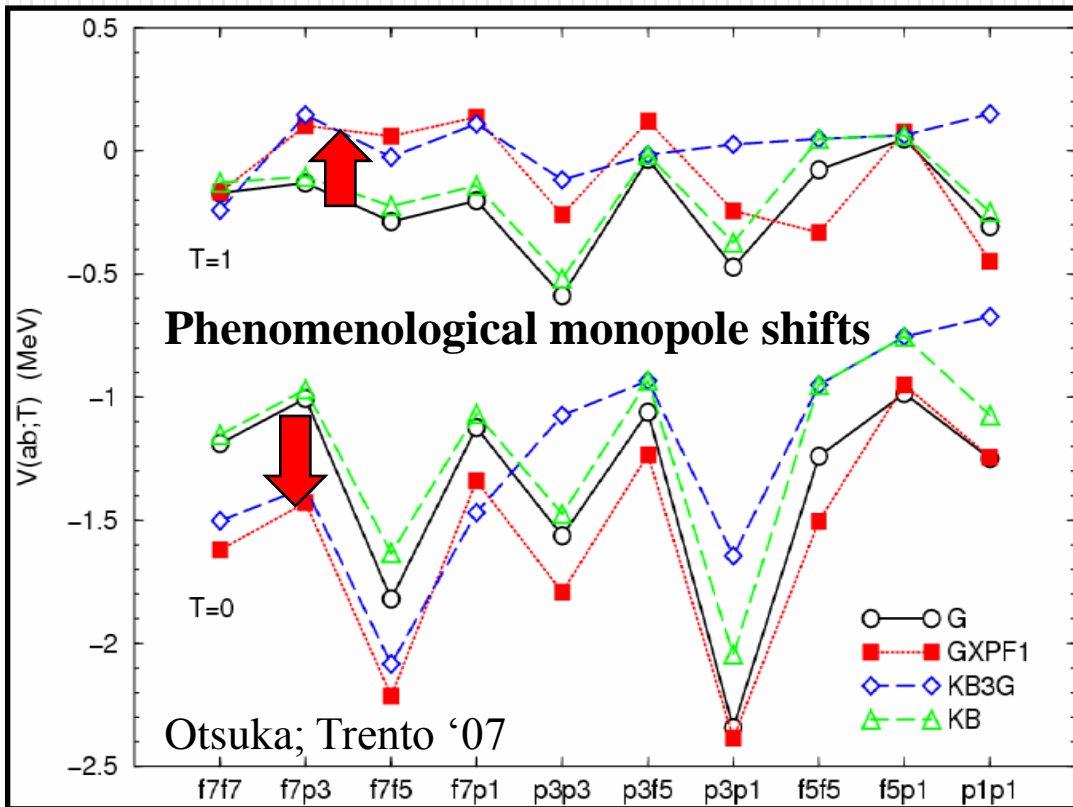
- GXPF1 (2004): quasi-global fit; monopoles most important

- KB3G(2001): modification of monopole part only

Monopole Hamiltonian determines evolution of SPEs

- **important for determining shell closures**

# Phenomenological vs. Microscopic



Compare monopoles from:

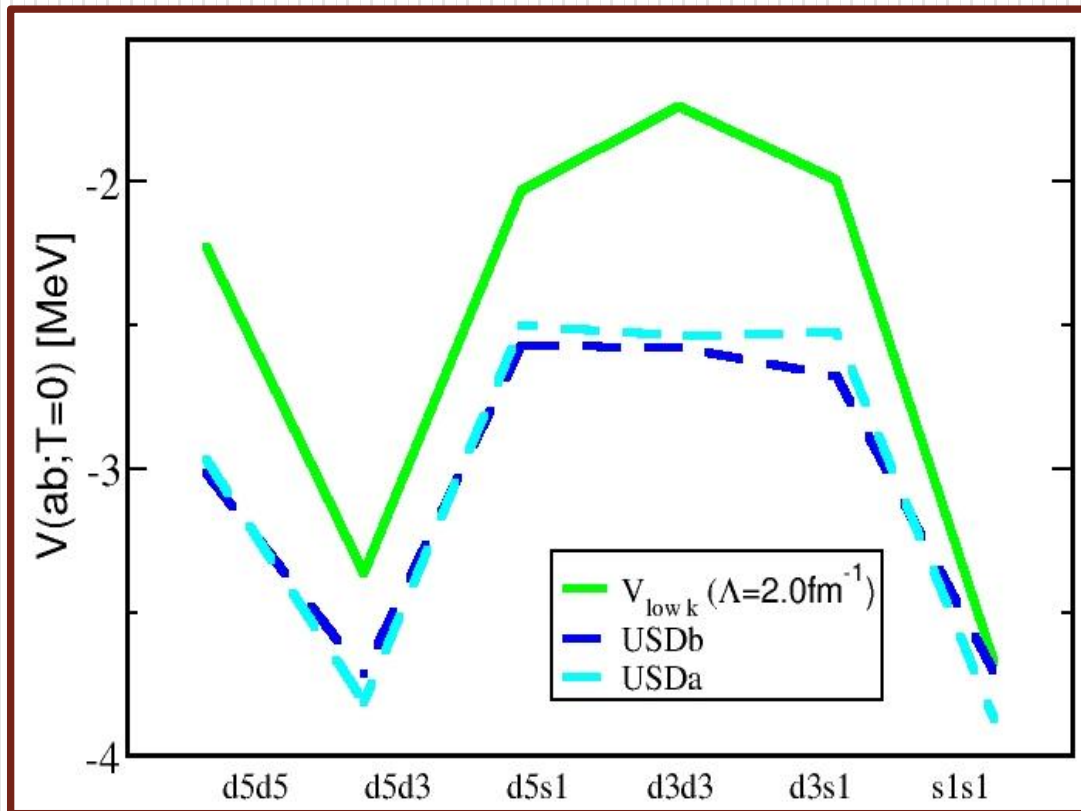
- *Microscopic G-matrix, Kuo-Brown* interactions
- *Phenomenological GXPF1, KB3G* interactions.

Clear shifts for low-lying orbitals:

- T=1 repulsive shift
- T=0 attractive shift



# Phenomenological vs. Microscopic



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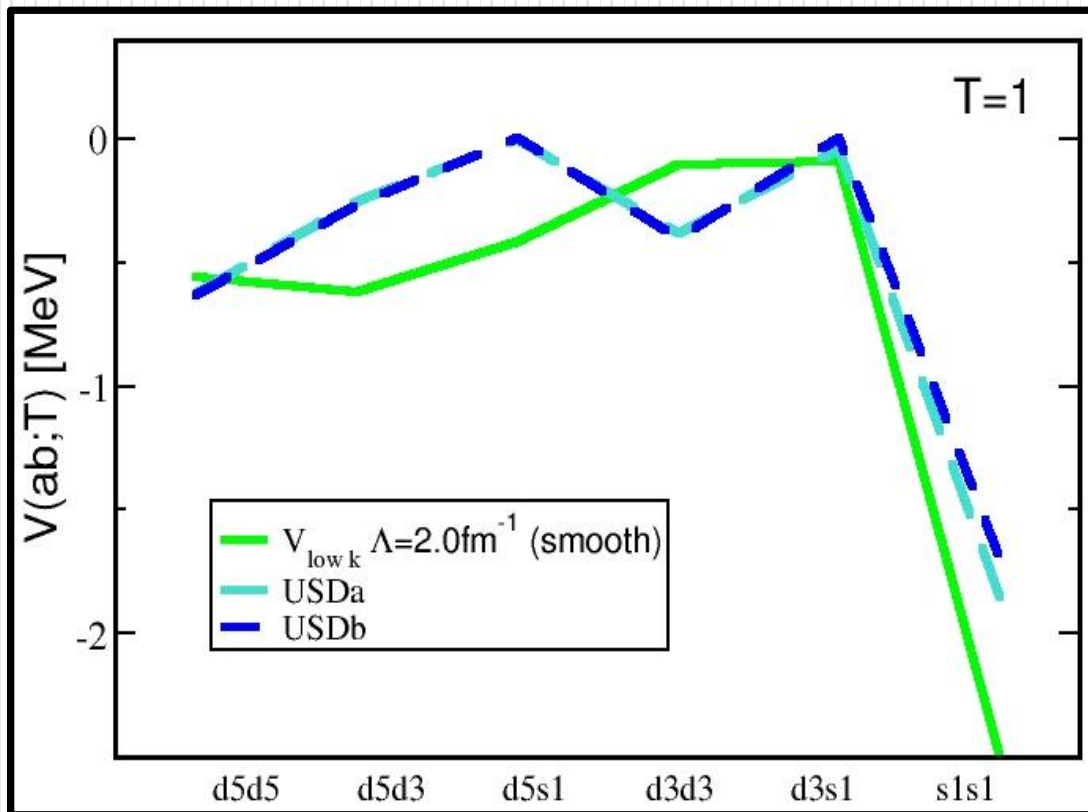
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Similar for sd-shell: USDa, USDb interactions: T=0 attractive

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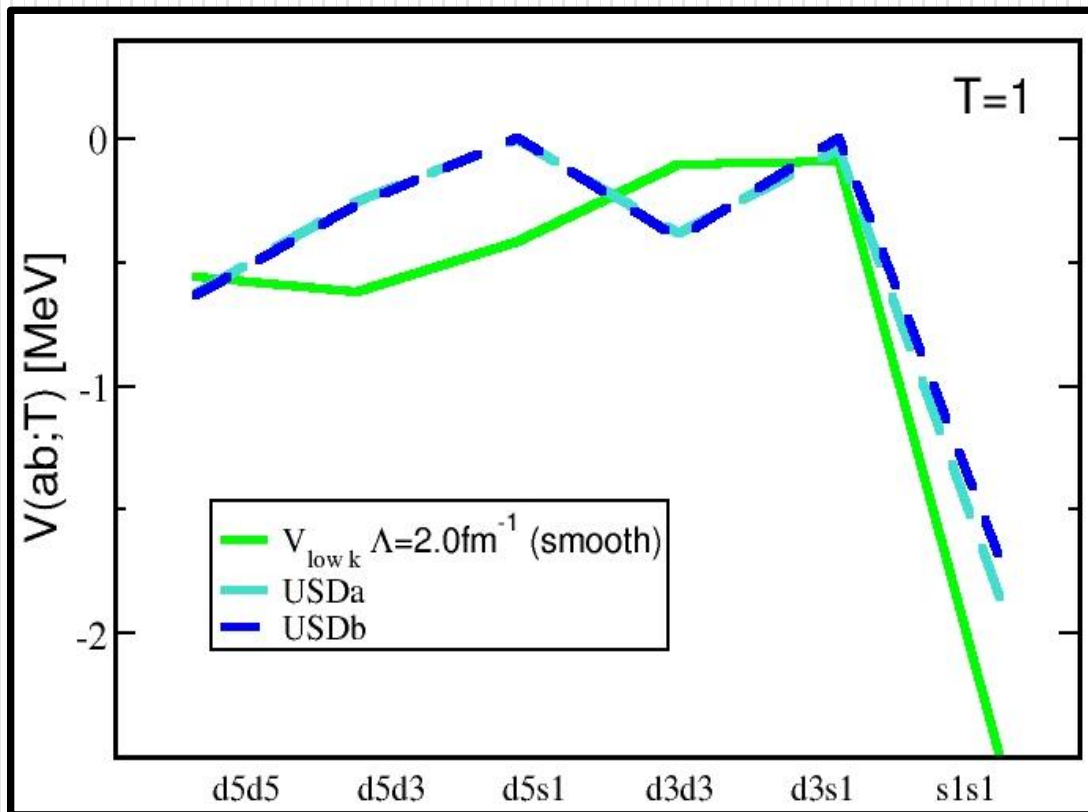
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Similar for sd-shell: USDa, USDb interactions: T=1 repulsive

# Phenomenological vs. Microscopic



Cause of the shifts?

MBPT converged? Investigating 3<sup>rd</sup> order diagrams in Q-box

**In Progress:** Validate against No-Core Shell Model with a core  
with: B. Barrett, A. Lisetskiy, and A. Schwenk

Can 3N forces explain these shifts? -- **Zuker (2003)**

Compare monopoles from:

- *Microscopic G-matrix, Kuo-Brown* interactions
- *Phenomenological GXPF1, KB3G* interactions.

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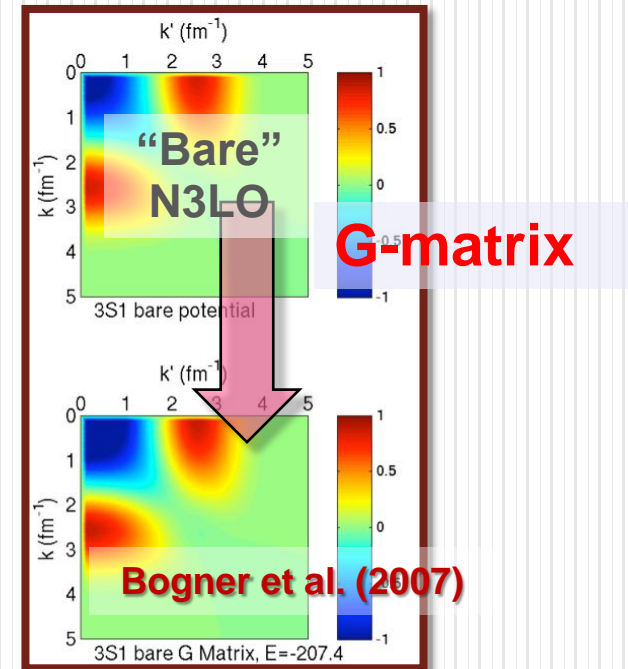
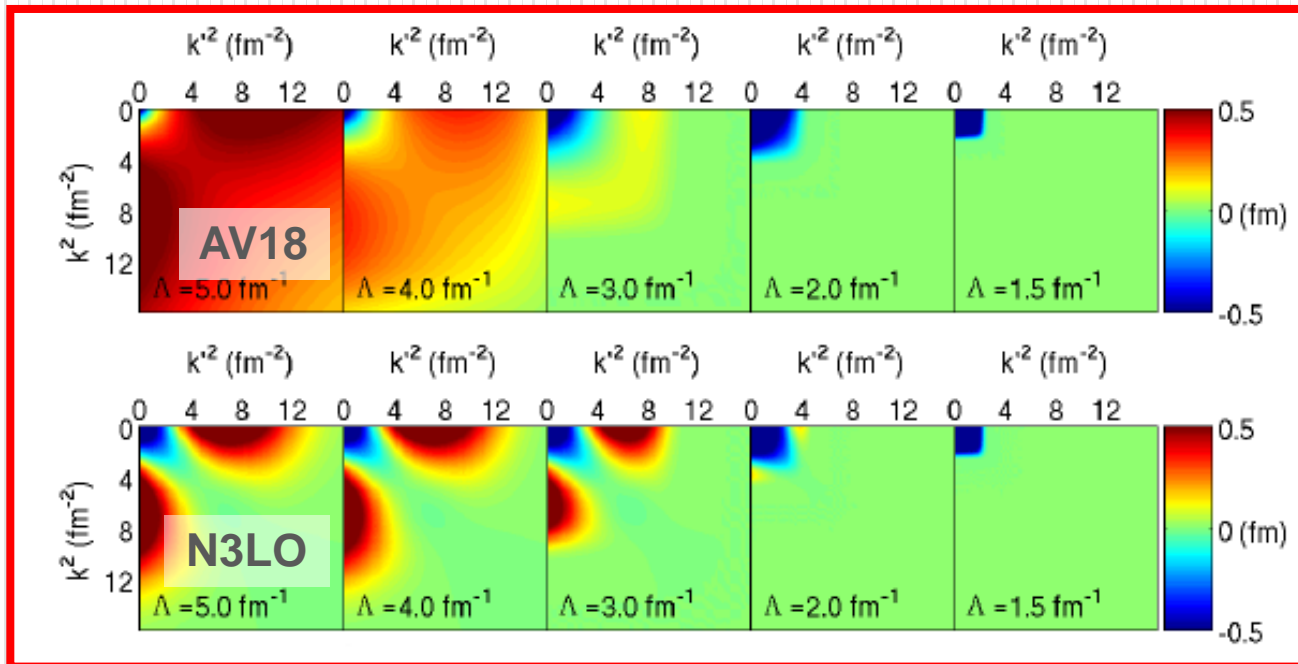
Similar for sd-shell: USDa, USDb  
interactions: T=1 repulsive

# Nuclear Interactions

$$H(\Lambda) = T + V_{\text{NN}}(\Lambda) + V_{\text{3N}}(\Lambda) + V_{\text{4N}}(\Lambda) + \dots$$

Chiral interactions provide systematic, consistent 3N

Chiral 2N: large cutoffs not suitable for MBPT – need to renormalize  
Evolve to lower cutoff using RG methods (smooth regulator)



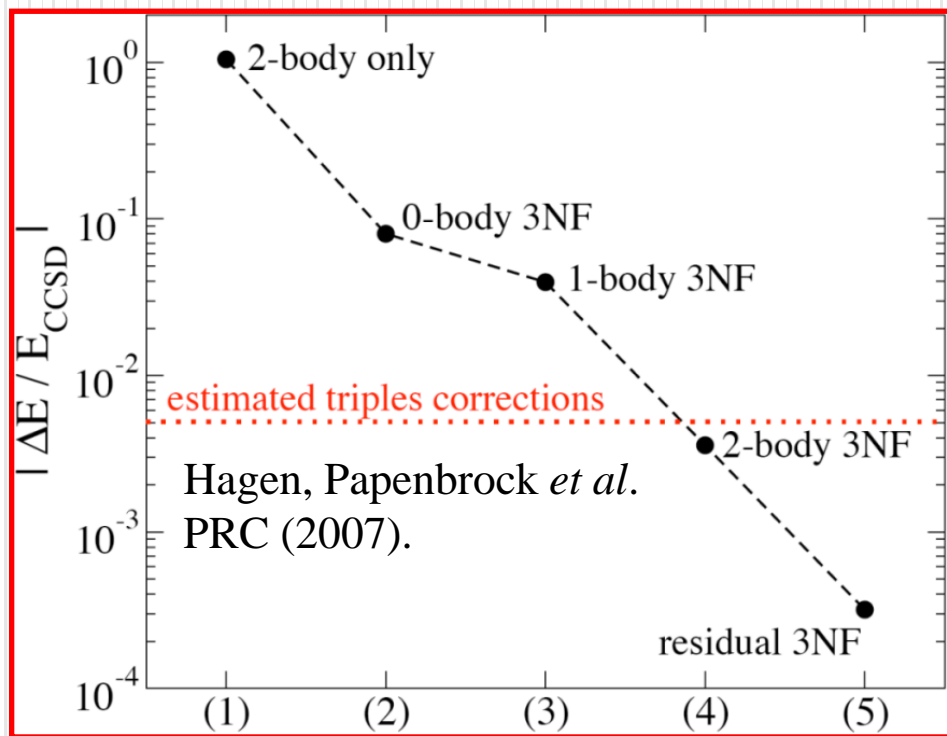
**Lower cutoffs:** improve convergence for structure in light nuclei, perturbative in nuclear matter – off-diagonal couplings removed (remain for G-matrix)

# 3N Forces in Valence-Shell Interactions

$$V_{\text{low } k}(\Lambda) + \text{leading order chiral } V_{3N}(\Lambda)$$

$D(\Lambda)$ ,  $E(\Lambda)$  couplings fit to  ${}^3\text{H}$  BE,  ${}^4\text{He}$  radius for given  $\Lambda$

Approach: inspired by **Coupled Cluster** results for  ${}^4\text{He}$

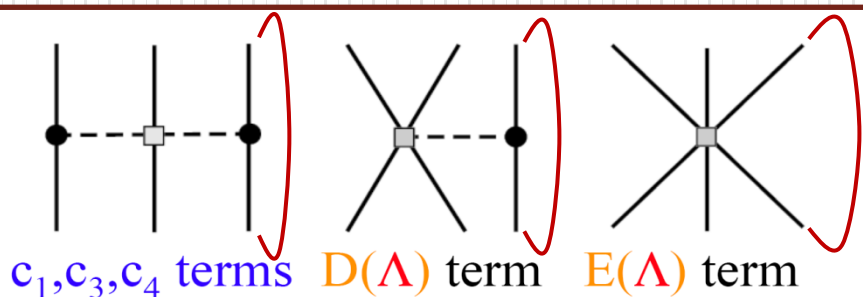


Benchmarked using low-momentum Interactions and 3NF

- 0- 1- and 2-body parts of 3NF dominate – **neglect residual 3NF**
- Sum over occupied states (as in coupled cluster, nuclear matter):

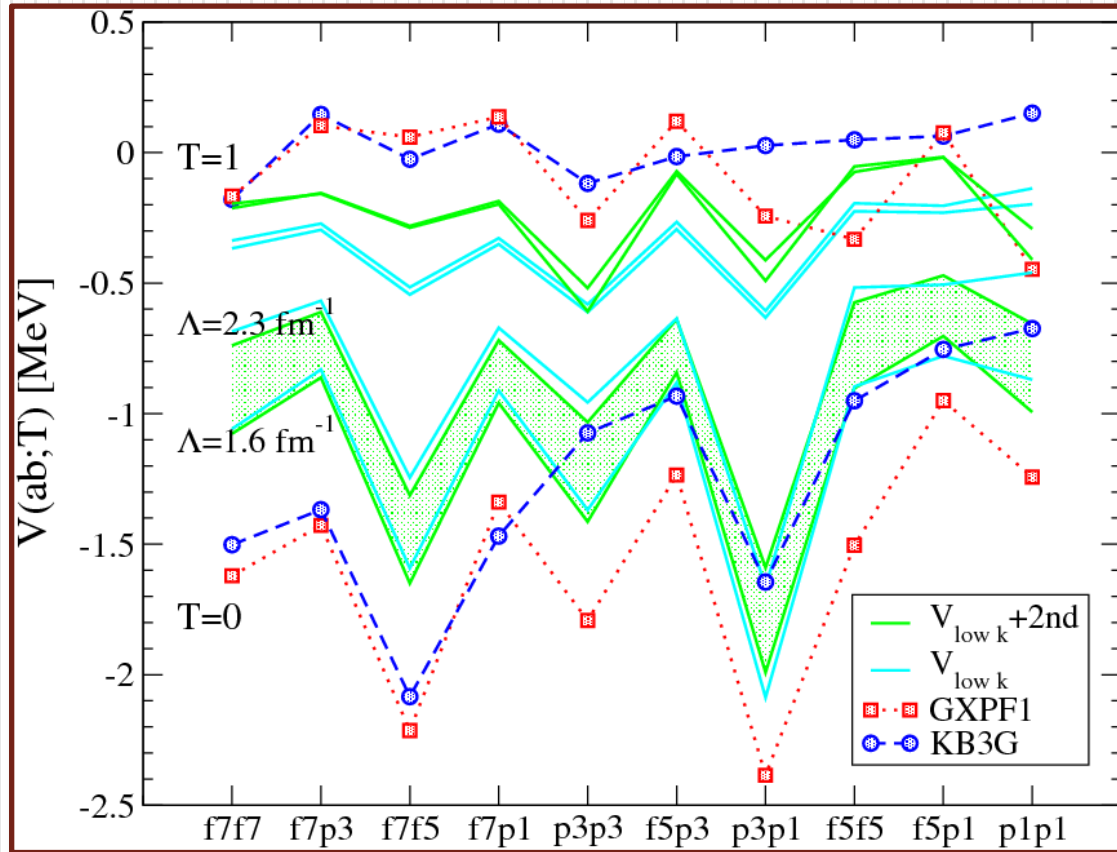
$$\langle ab | V_{3N,\text{eff}} | a'b' \rangle = \sum_{c=\text{core}} \langle abc | V_{3N} | a'b'c \rangle$$

- 3N forces tractable in shell model



# Cutoff Dependence of Monopoles

Use cutoff dependence of  $V_{\text{low } k}(\Lambda)$  to probe effects of 3N force:



**T=1:** cutoff-independent monopoles

➔ Indicates **c** terms may dominate (repulsive contribution in nuclear matter)

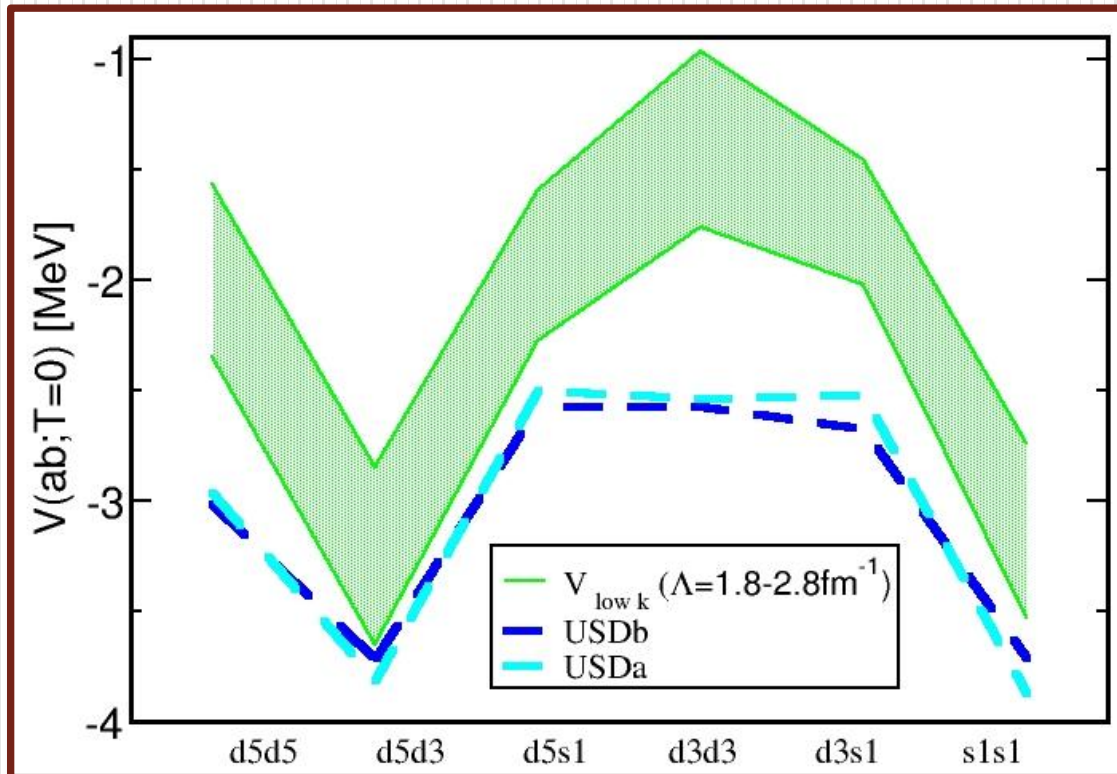
**T=0:** large cutoff dependence

➔ Expect attraction from 2<sup>nd</sup> order NN-3N

➔ Not enough to calculate effects to first order only for T=0

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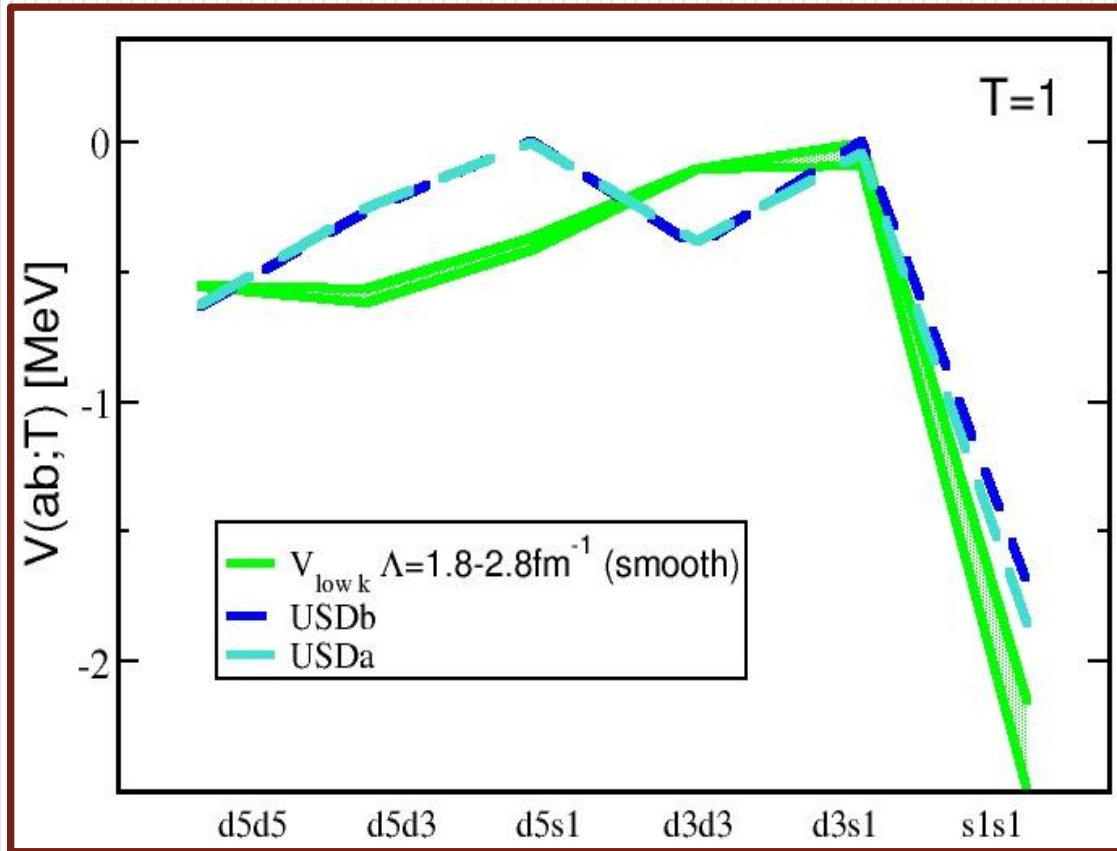
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➔ Similar trends in sd-shell

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➔ Similar trends in sd-shell

➔ Wrong hierarchy for low-lying  $T=1$  monopoles with microscopic theory



# Calculation Scenarios

Focus on T=1 monopoles and systems in the following scenarios:

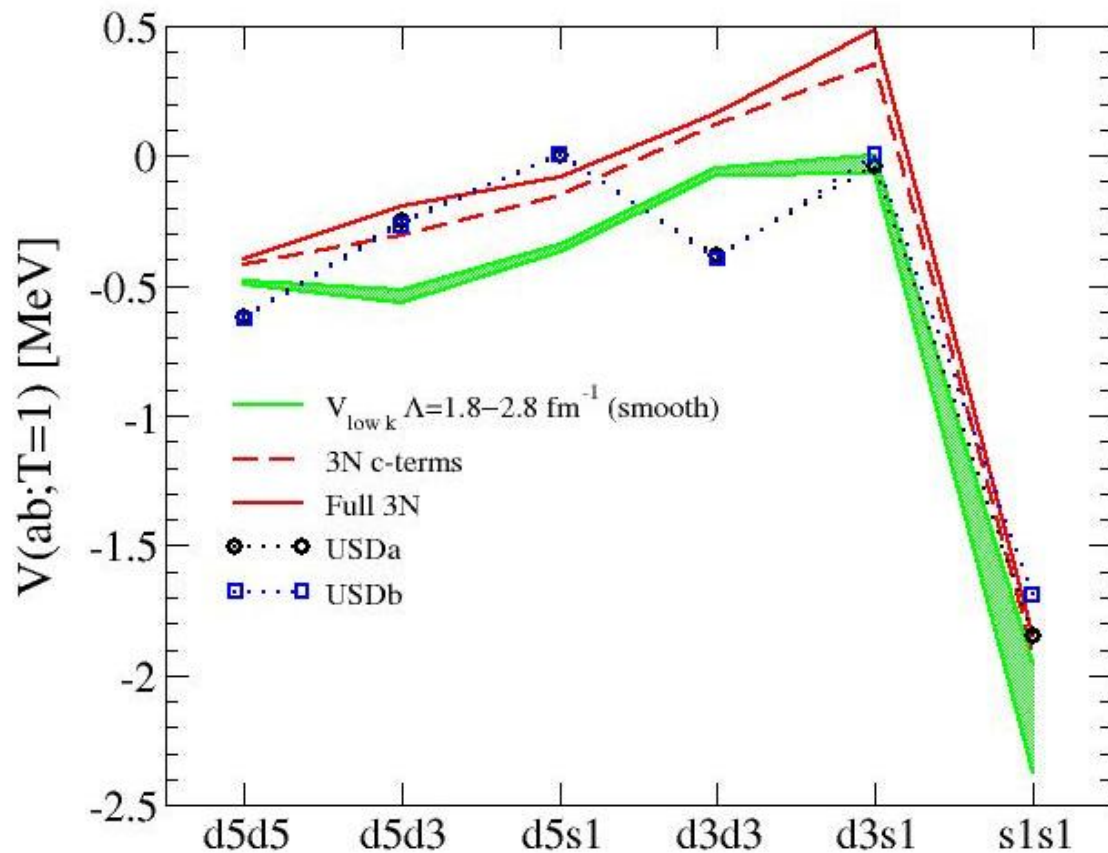
- 1 NN matrix elements derived from:
  - Chiral N<sup>3</sup>LO (500MeV) using smooth-regulator  $V_{\text{low } k}$  with range of cutoffs
  - 2<sup>nd</sup> order in MBPT: details as previously given

- 2 Monopoles derived from 3N Forces

A) One-Delta excitation from  $c_1 = 0, c_3 = -2c_4 = \frac{h_A^2}{9\Delta m}; h_A = \frac{3g_A}{\sqrt{2}}$   
N<sup>2</sup>LO:

B) Full Chiral N<sup>2</sup>LO

# T=1 Monopoles in *sd*-shell



- 3N calculated to first order in MBPT

- Converged in 3NF partial waves up to:  $J \leq \frac{7}{2}$

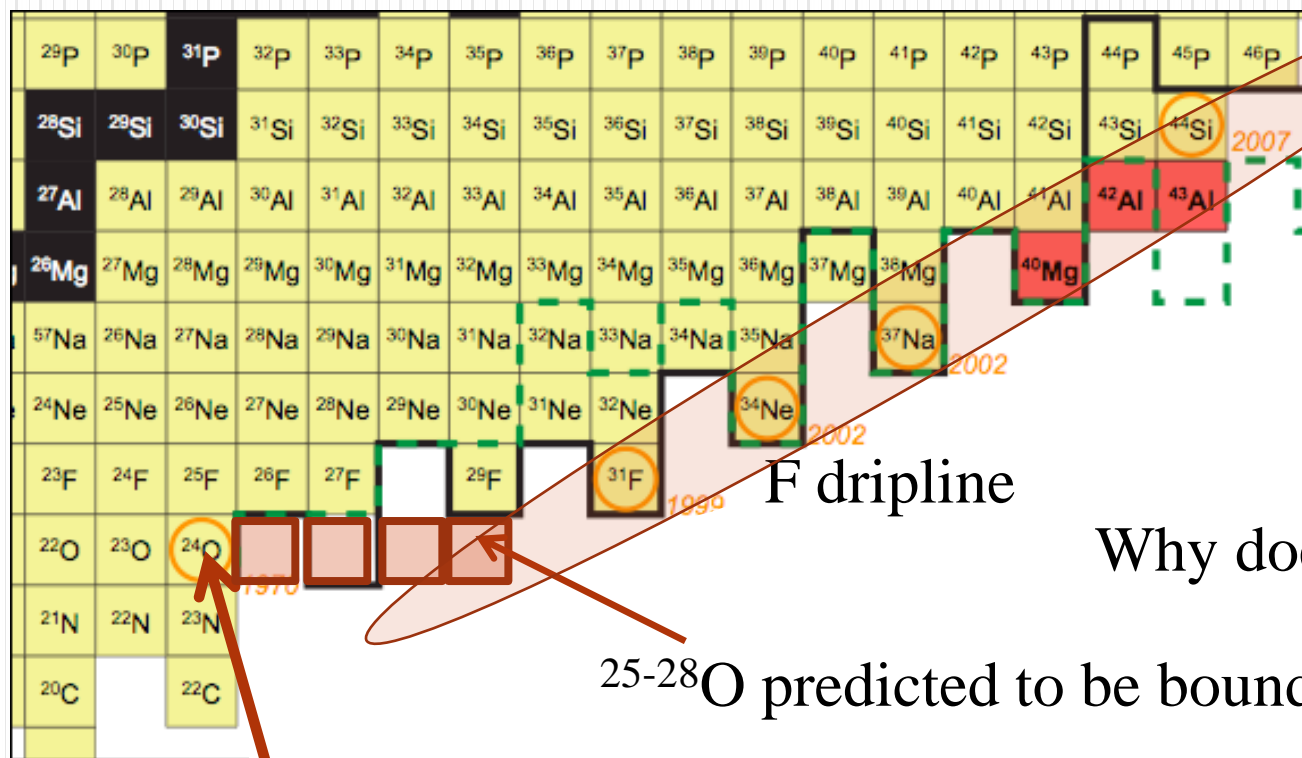
--- 3N (one- $\Delta$ )

— 3N (full  $N^2LO$ )

- Dominant effect from **one- $\Delta$**  – as expected from cutoff variation

- 3N forces produce clear repulsive shift in monopoles
- Restores monopole hierarchy  $d_{5/2}-d_{5/2}$  vs.  $d_{5/2}-d_{3/2}$
- Improved treatment of  $d_{3/2}$  – treat as holes in  $^{40}\text{Ca}$  core

# Oxygen-Flourine Anomaly



Regular trend for dripline of sd-shell nuclei

Oxygen dripline observed to deviate from this trend

Why does 1 proton change so much?

25-28O predicted to be bound with NN-only

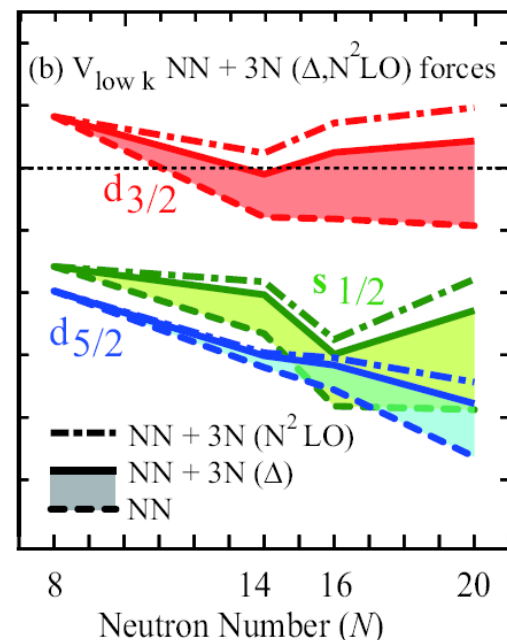
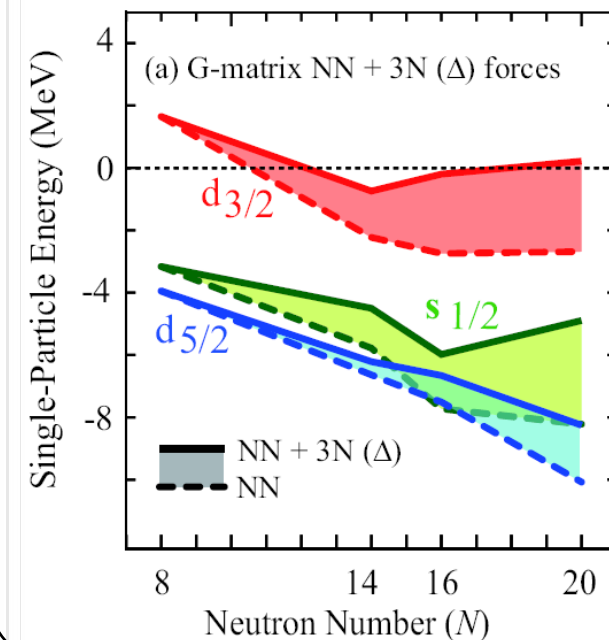
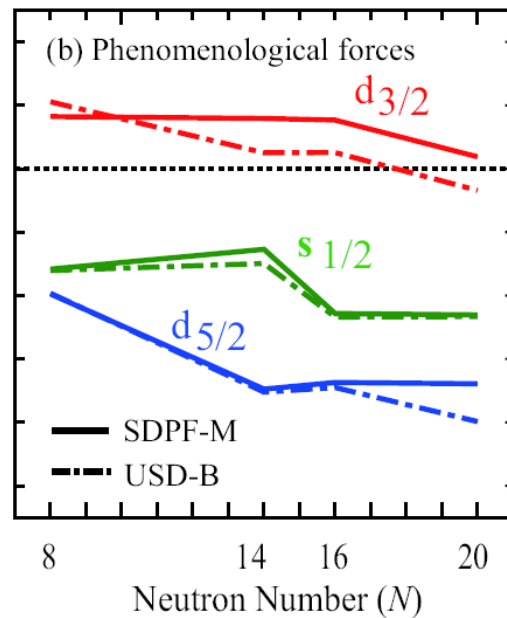
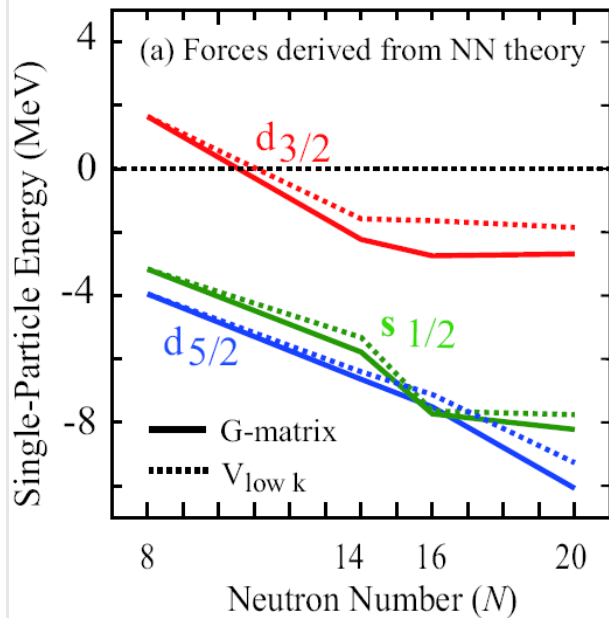
Experimental Oxygen dripline observed at  $^{24}\text{O}$

Monopole changes multiplied by neutron number – small changes will impact neutron-rich regions

Use 3N forces to investigate this anomaly – probe limits of nuclear existence with microscopic theory

# Evolution of SPEs in sd-shell

First results with 3N forces



$d_{3/2}$  orbit bound for microscopic NN-only interactions (G-matrix and  $V_{\text{low } k}$ )

NN predicts bound Oxygen isotopes to  $^{28}\text{O}$

Additional repulsion in  $d_{3/2}$  monopole strengths from 3N multiplied by neutron number

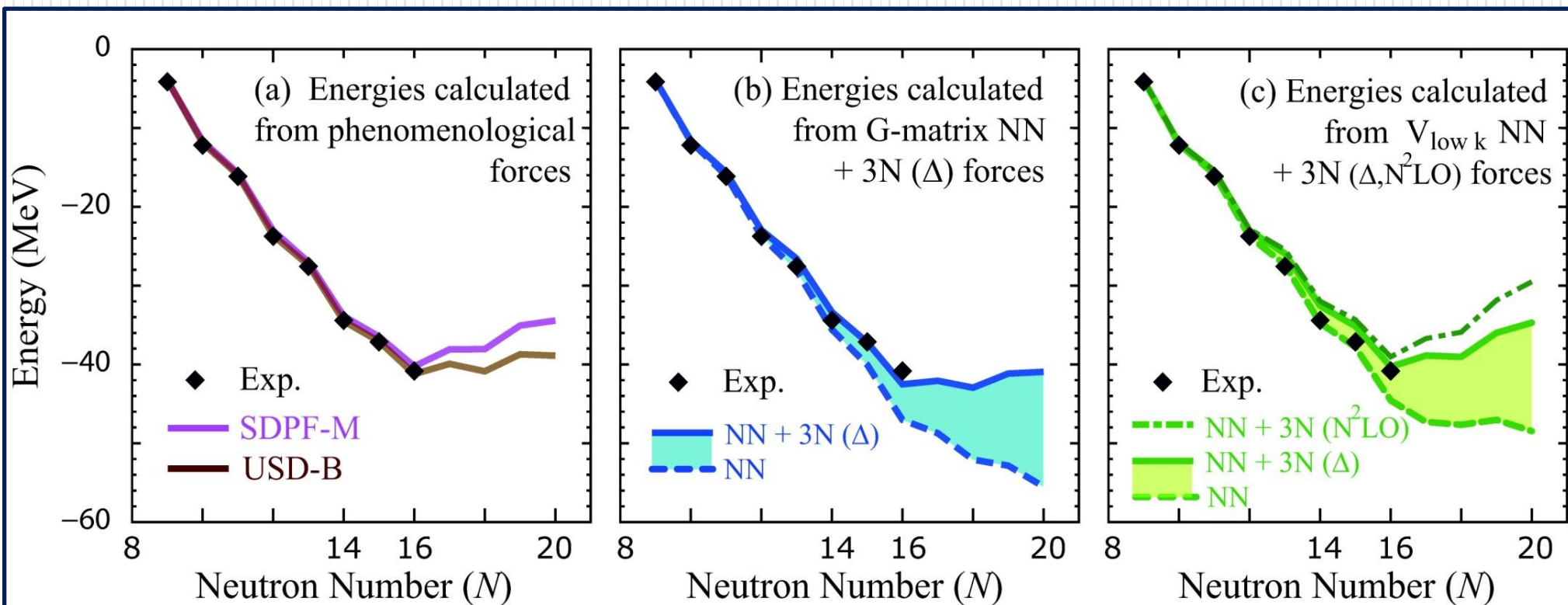
Largest effect seen in neutron rich isotopes

$d_{3/2}$  becomes unbound orbit with addition of 3N forces

Similar behavior for single  $\Delta$  and chiral  $N^2\text{LO}$  forces

# Calculated Oxygen Binding Energies

- First shell-model calculations using NN+3N monopoles: predict dripline in O
- Calculate GS energies (relative to  $^{16}\text{O}$ ) with SDPF-M single particle energies using MBPT to 2<sup>nd</sup> order, 6 major shell intermediate state excitations



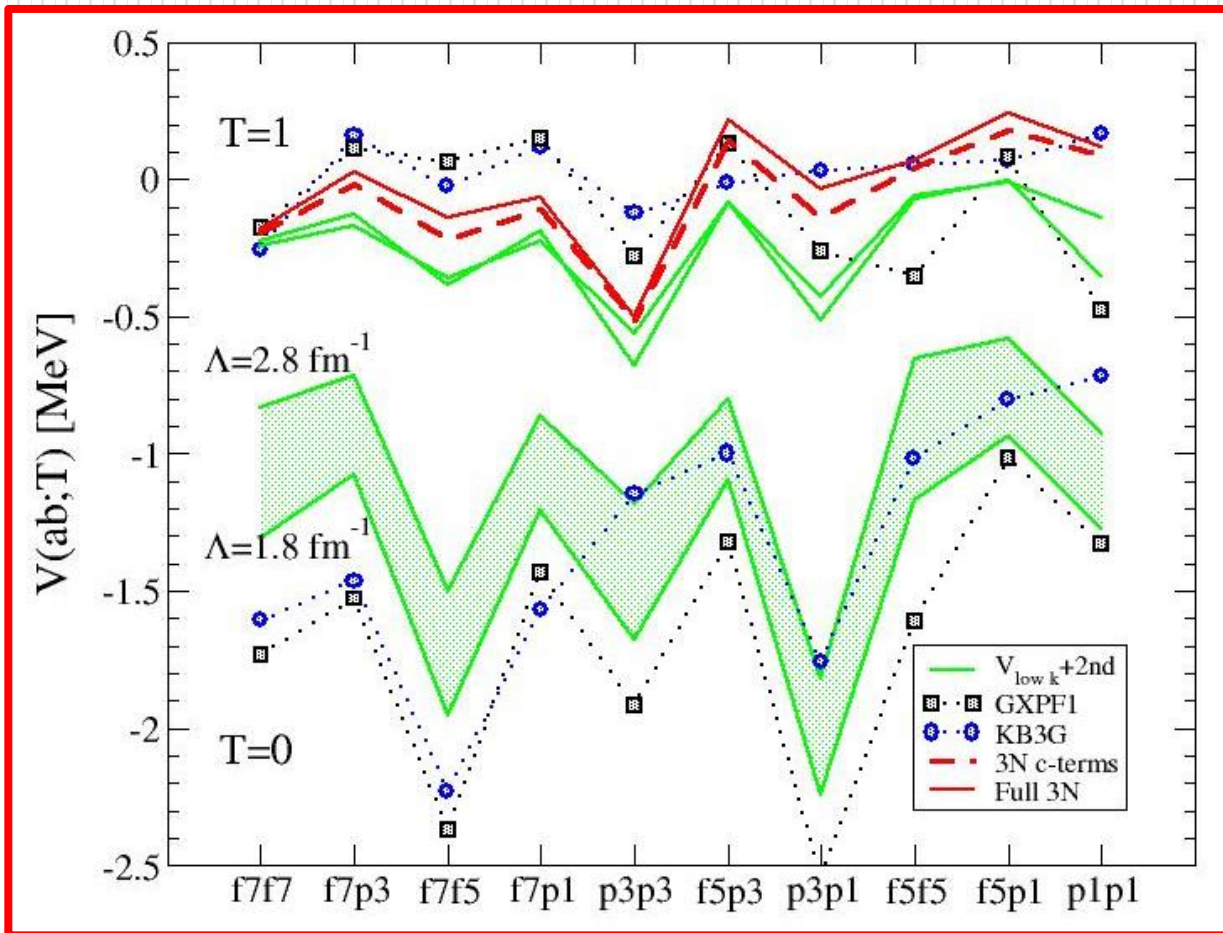
Phenomenological interactions show  $^{25-28}\text{O}$  less bound than  $^{24}\text{O}$

BEs increase for NN-only through  $^{28}\text{O}$

Adding 3NF: isotopes beyond  $^{24}\text{O}$  less bound: dripline correctly predicted at  $^{24}\text{O}$ !

# Monopoles in $pf$ -shell

- Similar picture as in  $sd$ -shell



- Calculated to first order in many-body perturbation theory

- converged with 3NF partial waves up to:  $J \leq \frac{7}{2}$

--- 3N (one  $\Delta$ )

— 3N ( $N^2LO$ )

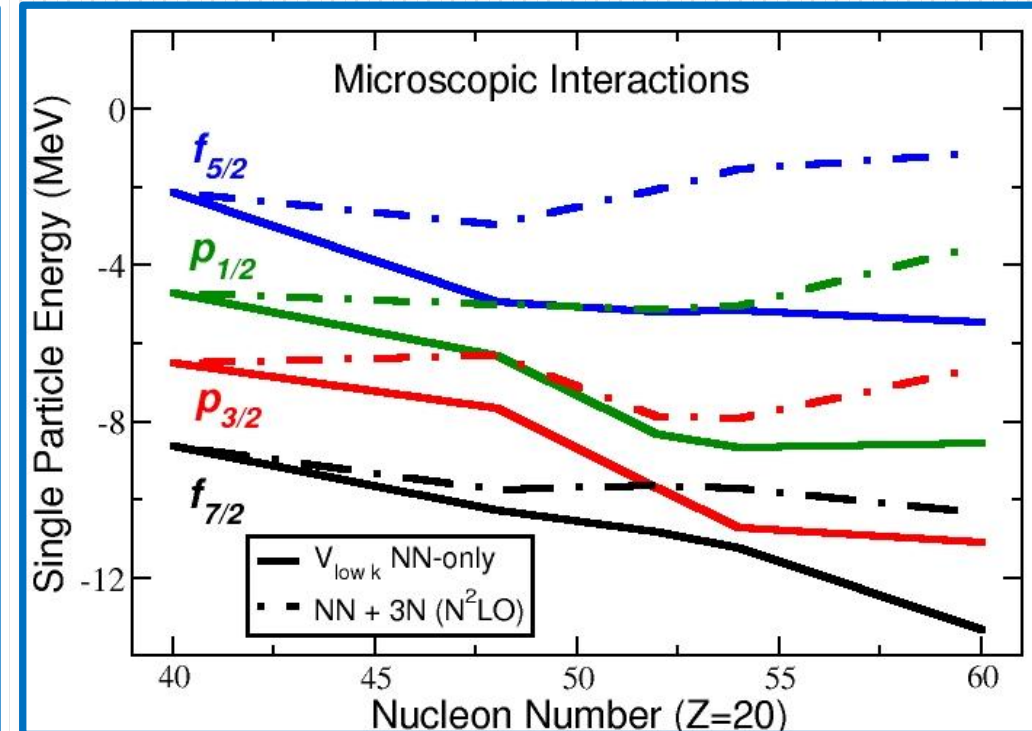
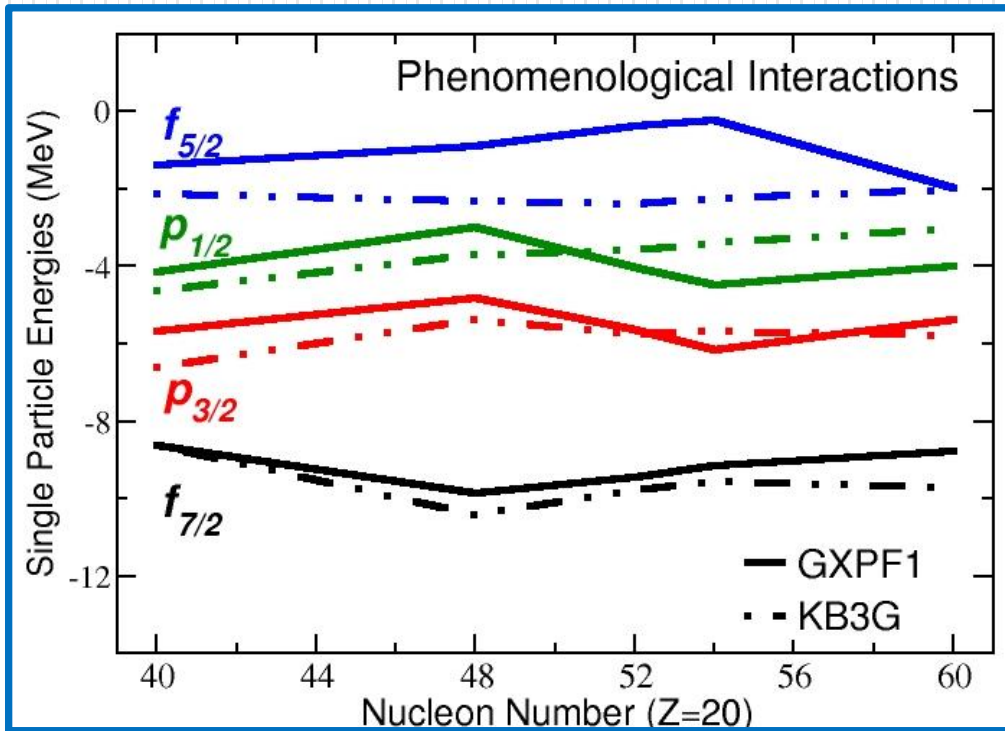
- Clear repulsive shift due to 3NF in  $T=1$  channel

**T=0**: Expect attraction from 2<sup>nd</sup> order perturbation theory: **in progress**

# Calcium Effective Single Particle Energies

Using KB3G SPEs, MBPT with 3 major shells (preliminary)

Calculate SPEs with 3N force monopoles



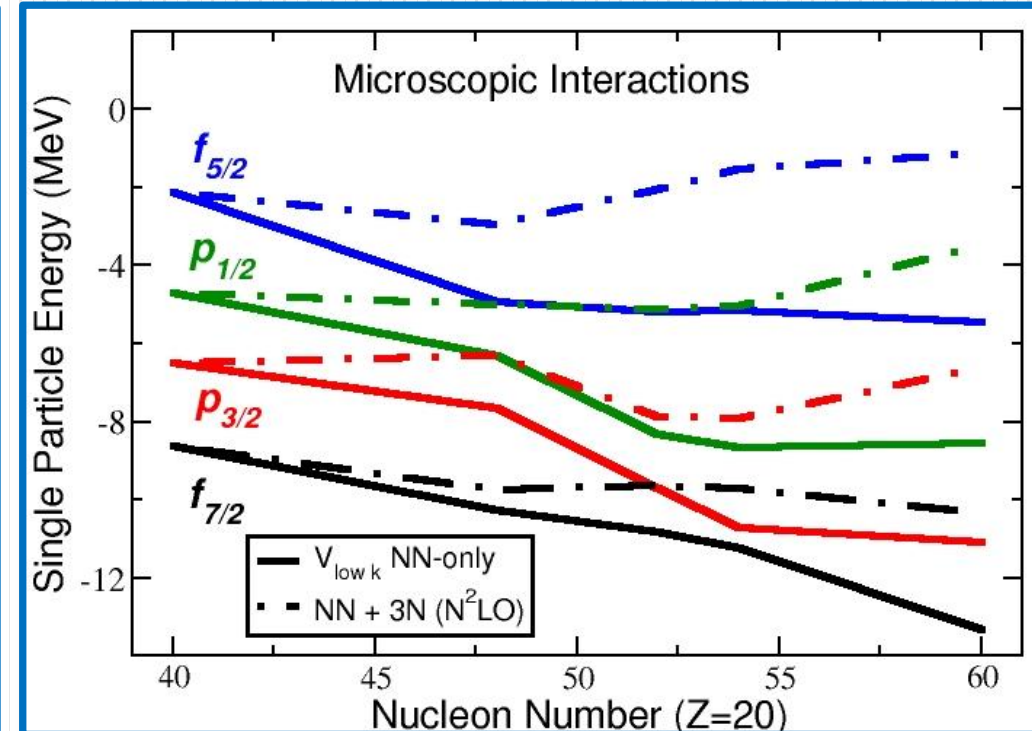
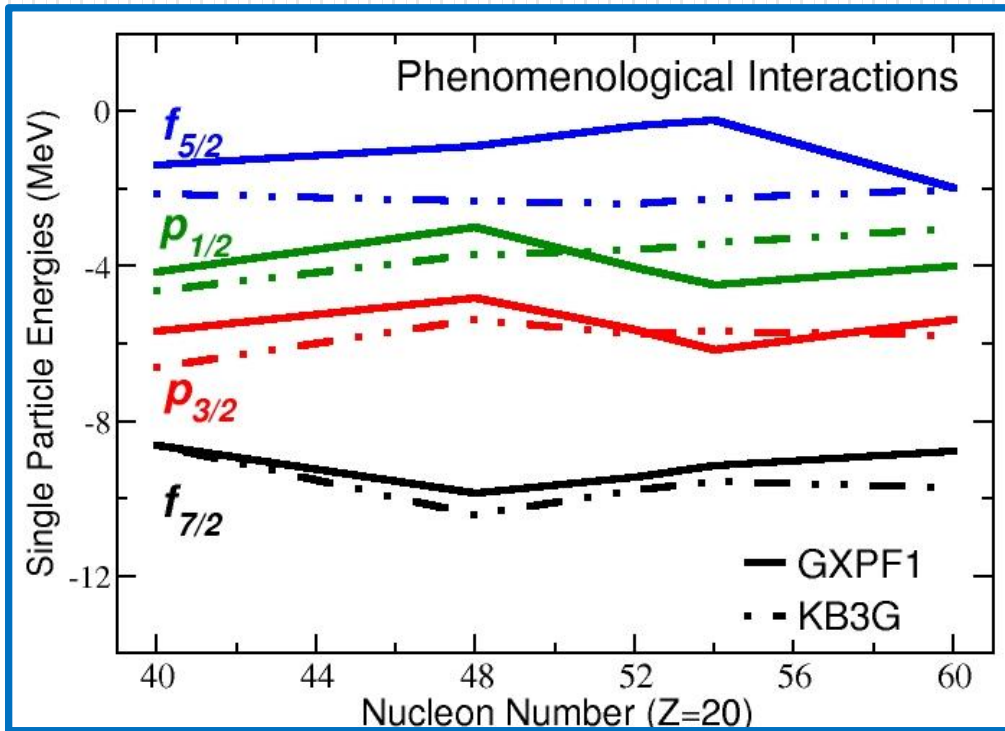
Large  $f_{7/2}$ - $p_{3/2}$  gap in phenomenological interactions gives shell closure at  $^{48}\text{Ca}$  – famously missing with NN-only

Increase in gap due to 3NF monopoles: indicates enhancement of closed-shell features at  $^{48}\text{Ca}$

# Calcium Effective Single Particle Energies

Using GXPF1 SPEs, MBPT with 3 major shells (preliminary)

Calculate SPEs with 3N force monopoles



N=34 shell gap: GXPF1 shows closed shell at N=34 – in disagreement with KB3G

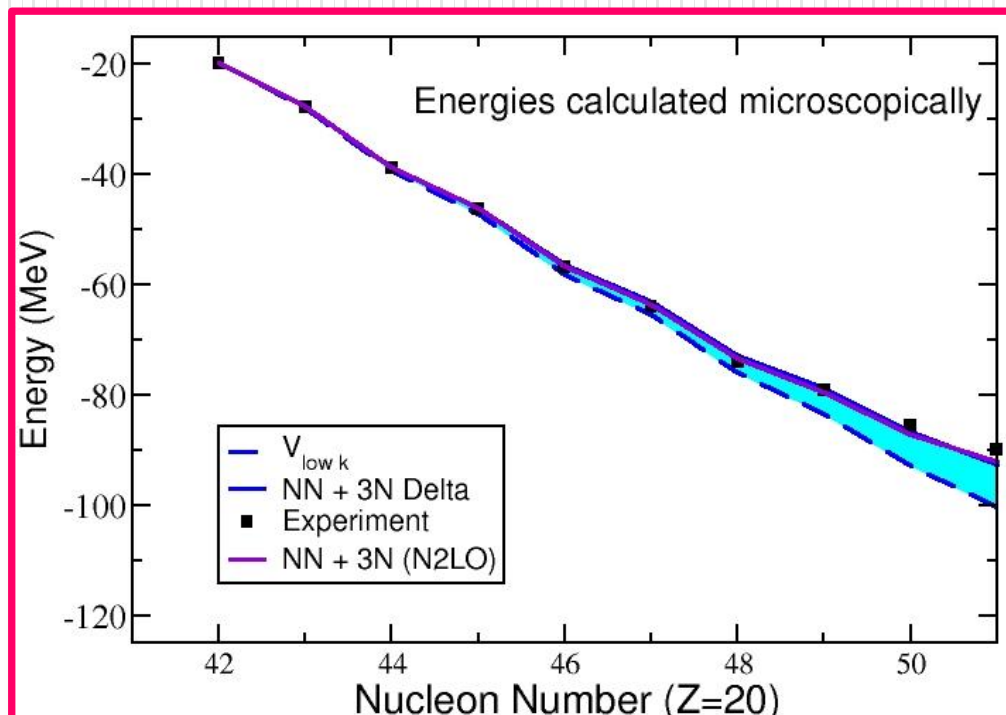
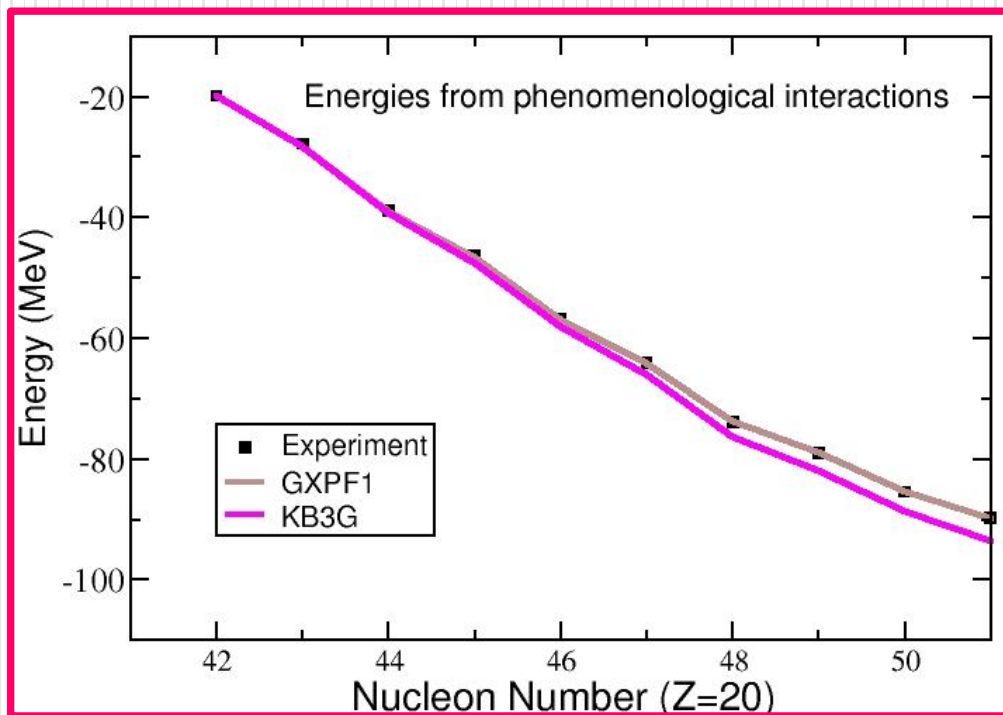
$V_{low k}$  also gives gap at N=34, retained after adding 3N – supports GXPF1



# Ground State Energies in Ca Isotopes

Perform shell model calculations for Ca isotopes using NN + 3N monopoles

Calculate Binding Energies for isotope chain (preliminary)



With 3N monopoles – close to GXPF1 BEs

Expect slightly more binding for higher intermediate states

NN-only comes to overbind Ca isotopes beyond  $\sim^{46}\text{Ca}$

3N monopoles correct overbinding – good experimental agreement

# Outlook

- Exploring frontiers of nuclear structure of medium mass nuclei with 3N forces
- 3NF contribution to sd- and pf-shell monopoles
  - **Repulsive shift** seen in  $T=1$  monopoles
- **In progress:**  $T=0$  – need 3N effects to **2<sup>nd</sup> order**
- Correctly predicted binding energies of oxygen isotopes – including dripline
- First calculations in pf-shell with calcium binding energies
- Investigate effects of 3NF on SM interactions as orbits are filled.

Thanks to Collaborators!

A. Schwenk

T. Otsuka

T. Suzuki